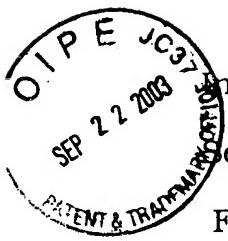


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of Kemp E. Falkner

Serial Number: 10/632,040

Filing Date: 08/01/03

For: Liquid Treatment Methods

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

STATEMENT UNDER 37 C.F.R. 1.97 AND 1.98

The US Patents identified below are listed on the attached PTO-1449, and a copy of each is attached hereto.

US Patents 1,726,314; 3,371,033; 4,179,375; 4,491,549; 4,652,382; 4,735,716; 5,015,394; 5,147,530; 5,286,389; 5,456,830; 5,709,799; 5,916,439; 6,207,064 and 6,221,245 each disclose liquid treatment apparatus having internal components that direct or distribute flow of the liquid being treated.

September 17, 2003

30 N. Nanagosa Trail
Suttons Bay, MI 49682
(231) 271-6868
email kaplanpat@mac.com

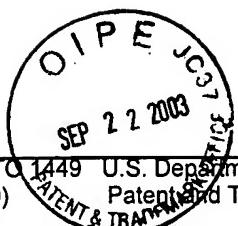
Respectfully submitted,

Charles M. Kaplan
Charles M. Kaplan
Attorney for Applicant
Customer Number 24326

CERTIFICATE OF MAILING

I, Charles M. Kaplan, hereby certify that the foregoing Statement Under 37 C.F.R. 1.97 and 1.98 has been deposited with the U.S. Postal Service, with adequate first class postage prepaid, in an envelope addressed to the the Commissioner for Patents, P.O.Box 1450, Alexandria, VA 22313-1450 this 17th day of September, 2003.

By Charles M. Kaplan
Charles M. Kaplan
Registration No. 19,416



FORM PTO 1449 U.S. Department of Commerce
 (Rev 7/80) Patent and Trademark Office
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Sheet 1 of 2

APPLICANT:
 Kemp E. Falkner

SERIAL NO
 10/632.040

FILING DATE 08/01/03

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U. S. PATENT DOCUMENTS

Examiner Initial *		Document Number							DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	1	7	6	2	3	1	4	6-10-30	Sutphen et al	210	197	
	AB	3	3	7	1	0	3	3	2-27-68	Simmons et al	210	3	
	AC	4	1	7	9	3	7	5	12-18-79	Smith	210	197	
	AD	4	4	9	1	5	4	9	1-1-85	Fischer et al	261	36R	
	AE	4	6	5	2	3	8	2	4-24-87	Edwards et al	210	752	
	AF	4	7	3	5	7	1	6	4-5-88	Petrucci et al	210	232	
	AG	5	0	1	5	3	9	4	5-14-91	McElhenny	210	744	
	AH	5	1	4	7	5	3	0	9-15-92	Chandler et al	210	90	
	AI	5	2	8	6	3	8	9	2-15-94	Hardison	210	712	
	AJ	5	4	5	6	8	3	0	10-10-95	Stanford	210	235	
	AK	5	7	0	9	7	9	9	1-20-98	Engelhard	210	478	

FOREIGN PATENT DOCUMENTS

		Document Number	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	YES	NO
	AL								
	AM								
	AN								

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AO	
AP	
AR	

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Kemp E. Falkner

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FILING DATE 8-01-03

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U. S. PATENT DOCUMENTS

Examiner Initial *	Document Number							DATE	NAME		CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	5	9	1	6	4	3	9	6-29-99	Oleskow	210	198.1	
	AB	6	2	0	7	0	6	4	3-27-01	Gargas	210	752	
	AC	6	2	2	1	2	4	5	4-24-01	Colburn	210	223	
	AD												
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